

Trades in Accounts with Missing Documents.

Created on 06/29/2015

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Filter

FA: A3E,A5U,A6G,Q2W,T2T,T4N

Update Date: 04/30/2015

Non Zero Commissions Only: No

Account	Acct FA	Account Name Opened Date	Update Date	Trade FA	Buy Sell	Qty	Symbol CUSIP	Sec. Description	Commission
G241666788-02	A3E	HORBLITT Opened: 09/29/2011	04/30 Orig	A3E	B	4000.000	AKS	AK STL HLDG CORP	\$630.54
<b>No Missing Documents</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: 05/22/2015</b>	
G241666788-02	A3E	HORBLITT Opened: 09/29/2011	04/30 Orig	A3E	B	5000.000	KEG	KEY ENERGY SVCS INC	\$577.49
<b>No Missing Documents</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: 05/22/2015</b>	
G241857239-01	A3E	BILLY MANN Opened: 03/09/2015	04/30 Orig	A3E	B	2500.000	NOK	NOKIA CORP	\$474.78
<b>1. POB/CO : P.O.Box Care-Of Letter</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: N/A</b>	
G241857239-01	A3E	BILLY MANN Opened: 03/09/2015	04/30 Orig	A3E	B	4000.000	AKS	AK STL HLDG CORP	\$629.36
<b>1. POB/CO : P.O.Box Care-Of Letter</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: N/A</b>	
G241857239-01	A3E	BILLY MANN Opened: 03/09/2015	04/30 Orig	A3E	B	5000.000	ARO	AEROPOSTALE	\$626.92
<b>1. POB/CO : P.O.Box Care-Of Letter</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: N/A</b>	
G241857239-01	A3E	BILLY MANN Opened: 03/09/2015	04/30 Orig	A3E	B	7500.000	KEG	KEY ENERGY SVCS INC	\$827.24
<b>1. POB/CO : P.O.Box Care-Of Letter</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: N/A</b>	
G241857239-01	A3E	BILLY MANN Opened: 03/09/2015	04/30 Orig	A3E	B	4000.000	S	SPRINT CORP	\$629.21
<b>1. POB/CO : P.O.Box Care-Of Letter</b>			<b>Acct FA Notified: 05/01/2015</b>			<b>Reversal Date: 05/15/2015</b>		<b>Reinstate Date: N/A</b>	

gtempleton, BranchUser

Printed 06/29/2015 14:01:37