

**REDACTED**



# ORAL COMPLAINT FORM

Type of Contact: Phone Call: 352 745 0500 Meeting: \_\_\_\_\_ Other: \_\_\_\_\_  
(Explain)

Date of Contact: 3/14/16

Customer Name: BRYAN MYERS

Customer Account No: ██████████3032

FA Name: GREGG TEMPLETON Branch Office No: G24 - METRO

Date of Alleged Misconduct: 2014

Name of Security Invested FACEBOOK, ONESCREEN

Nature of Complaint: CLIENT ASKED FOR JERRY WOLF, AFTER NOTICING THAT THE NAME HAD CHANGED ON THE STATEMENT AND GREGG TEMPLETON WAS NO LONGER BROKER OF RECORD. CLIENT CLAIMS THAT UNAUTHORIZED TRADE IN FACEBOOK OCCURED IN HIS ACCOUNT BY TEMPLETON, AND THEN SOLD IT SUBSEQUENTLY FOR A \$70K LOSS. ALSO MENTIONED ONESCREEN INC., AND TEMPLETON'S INVOLVEMENT IN THE STOCK PROMOTION. CLIENT ALSO MENTION THAT A RELATIVE OF TEMPLETON (UNCLE OR COUSIN) WAS ALSO SOMEHOW INVOLVED.  
I REFERRED THE CALL TO DON CORBETT (OPCO ATTORNEY) WHO REACHED OUT TO THE CLIENT. ACCOUNT CURRENTLY RESTRICTED.

Response to the Allegation(s): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

BRANCH MANAGER SIGNATURE: ad

BRANCH MANAGER NAME PRINTED: CHAD ALLEN

DATE BOM FORWARDED TO COMPLIANCE DEPT.: 3/14/16

*One copy of this form must be kept in the Branch Complaint File. Upon completion, the original signed form must be sent to the Compliance Department.*