

Code of Ethics Questionnaire

By submitting this form, I attest that the information provided is accurate and complete.

OAM Employee / FA:

Oppenheimer/Internal Account(s) (Click to add row)

Do you or an immediate family member have or control any Oppenheimer accounts? Yes No

Account Number <small>(A12-1234567)</small>	Account Holder	Broker Name
<input type="text" value="G31-1614304"/>	<input type="text" value="Gregg D. Templeton"/>	<input type="text" value="Gregg D. Templeton"/>
<input type="text" value="G87-3109750"/>	<input type="text" value="Gregg D. Templeton - ROTH IRA"/>	<input type="text" value="Gregg D. Templeton"/>
<input type="text" value="G87-3109925"/>	<input type="text" value="Gregg D. Templeton - IRA Rollover"/>	<input type="text" value="Gregg D. Templeton"/>

External Account(s)

Do you or an immediate family member have or control any external/outside brokerage accounts? Yes No

Securities

Do you or an immediate family member hold any other securities including private placements and hedge funds, in addition to those securities held in your Opco Brokerage Account(s) and/or External Brokerage Account(s), listed previously? Yes No

Please specify:

Adaptive Media, formerly OneScreen - Prior to joining Oppenheimer, I was independently involved with Onescreen, now Adaptive Media as a consultant. I had referred this company into the Oppenheimer Investment Banking Department multiple times since 2007. I have completed and submitted all the forms that Tara Chenoweth and the Investment Banking Department requested from me on multiple occasions. All of the clients stock had been on deposit at Oppenheimer, including my own, for many years, but the stock certificates were sent back to the transfer agent for new Adaptive Media certificates. The merged company now trades OTC, however they are filing to uplist on the NASDAQ in 2015, in which time, I will redeposit all the stock back into their accounts in order to comply with Oppenheimer's policies. Currently, as per firm policy, these securities are not accepted under our current penny stock memorandum and rules. Oppenheimer was paid a \$100,000 engagement fee from Onescreen in 2010, however no deal was ever completed. Oppenheimer was attempting to raise \$10 million dollars for the company, however market conditions were not favorable. Earlier this year, the company was again speaking with Todd Simon from the Investment Banking Department for a third time, we shall see what happens.

Activities

Are you a director or an officer of a public or private company? Yes No

Submit