



Gregg Templeton <greggtempleton@gmail.com>

Re: New Account - Mann - ACAT and W-9

1 message

Gregg Templeton <greggtempleton@gmail.com>
To: Billy Mann <billy@manncom.com>
Cc: "Ronald M. Starr" <rstarr@theturtlebaygroup.com>

Thu, Oct 29, 2015 at 11:47 AM

Great, call you after I speak with him around 4:30pm. ~ Gregg

On Thu, Oct 29, 2015 at 11:43 AM, Billy Mann <billy@manncom.com> wrote:

Please speak with Ron first
Thx

green&BLOOM.TOPL1NE
manncomcree8ivthehitlife

On Oct 29, 2015, at 10:33 AM, Gregg Templeton <greggtempleton@gmail.com> wrote:

Billy,

Your account total as of 10:15am this morning is valued at \$63,231.

You are long 5 stocks in your account within 5 different sectors/industries as to diversify your account. I you like I can send you a complete breakdown of everything, however it is all on your monthly statement, including security symbols.

You are up in Nokia (NOK), flat in Sprint (S) and down \$10 - \$14,000 in each AK Steel (AKS), Aeropostale (ARO), and Key Energy (KEG).

As you are aware, the energy sector has been trading down (KEG). Hedge funds now own over 30% of this companies stock. They may know something we don't?

As we are headed into the holiday season (ARO) which just posted a good 2nd quarter and also is launching new stores over seas and just signed a licensing agreement, seems ripe for a breakout. From a technical perspective, they are trading higher off the 20 day moving average with lighter the average volume. The stock is getting ready for a breakout in my opinion. Also, the company has a large short position and could be susceptible to a large short squeeze.

AK Steel (AKS) just reported their numbers and the 3rd quarter sales rose 7.5% to \$1.71 Billion as shipments rose 28%. They swung from a loss of \$7.2 million a year ago to a \$6.7 million dollar profit or .04 per share. I would consider purchasing more as to bring your cost down.

Contrarians need to be a little patient Billy, don't judge performance in 6 months, your time horizon was 3 - 5 years on this account, hence decision on going long these companies.

Looking for a great 4th quarter in all these companies, which all report their year end number in a few months, early 2016.

Billy, lets talk. Are you available to talk after the markets close?

~ Gregg

On Thu, Oct 29, 2015 at 9:37 AM, Billy Mann <billy@manncom.com> wrote:

Ok. Thx

green&BLOOM.TOPL1NE
manncomcree8ivthehitlife

On Oct 29, 2015, at 9:34 AM, Ronald M. Starr <rstarr@theturtlebaygroup.com> wrote:

Yes, but I believe the stocks may have recovered a bit in October. Will know when the folks at Oppenheimer get back to me.



Ron Starr

Managing Director

Turtle Bay Group, Inc.

369 Lexington Avenue, 24th fl. (PLEASE NOTE NEW ADDRESS)

New York, New York 10017

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From: Billy Mann [<mailto:billy@manncom.com>]
Sent: Thursday, October 29, 2015 9:28 AM
To: Ronald M. Starr
Cc: Gregg Templeton
Subject: Re: New Account - Mann - ACAT and W-9

Am I reading this right? Went from 78k to 54k ?

green&BLOOM.TOPL1NE
manncomcree8ivthehitlife

On Oct 29, 2015, at 9:25 AM, Ronald M. Starr <rstarr@theturtlebaygroup.com> wrote:

Billy,

Attached is a copy of the 9/30 Oppenheimer statement. I have a call and email into Michael Margarites at Oppenheimer for the value as of yesterday.

-Ron



Ron Starr

Managing Director

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From: Billy Mann [<mailto:billy@manncom.com>]
Sent: Thursday, October 29, 2015 8:39 AM
To: Ronald M. Starr
Cc: Gregg Templeton
Subject: Re: New Account - Mann - ACAT and W-9

Ron - please let Gena and me know where Oppenheimer account is now *prior* to your conversation with Gregg. Thx b

green&BLOOM.TOPL1NE

manncomcree8ivthehitlife

On Oct 29, 2015, at 8:26 AM, Ronald M. Starr
<rstarr@theturtlebaygroup.com> wrote:

Hi Gregg,

I have calls set up most of the morning. Let's touch base at 4pm after close of markets.

Ron

<image001.png>

Ron Starr

Managing Director

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369 Lexington Avenue, 24th fl. **(PLEASE NOTE NEW ADDRESS)**

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From: Gregg Templeton [<mailto:greggtempleton@gmail.com>]
Sent: Wednesday, October 28, 2015 6:46 PM
To: Billy Mann
Cc: Ronald M. Starr
Subject: Re: New Account - Mann - ACAT and W-9

Billy,

I spoke to Ron about a month ago and he was jammed up with taxes. I also left him a voicemail message late last week.

Ron,

I will call you tomorrow. I am available at 11:30am, 2pm or after the markets close at 4pm. What time works best for you?

Have wonderful evening.

Let's Go Mets!

~ Gregg

On Mon, Oct 26, 2015 at 11:44 AM, Billy Mann
<billy@manncom.com> wrote:

Gregg -

Gena and I are looking forward to an update from Ron with numbers/performance attached. I assume you will speak with him this week. His number is 6467553829 if you need it.

Billy

From: Gregg Templeton <greggtempleton@gmail.com>
Date: Friday, October 23, 2015 at 11:52 AM
To: Ron Starr <RStarr@TheTurtleBayGroup.com>
Cc: William Mann <billy@manncom.com>
Subject: New Account - Mann - ACAT and W-9

Ron,

Following up from our conversation a couple of weeks ago.

I know you said you were swamped during tax season, so I am now forwarding you 2 documents to complete the account transfer now that the filing deadline has passed.

Hopefully, you did what my CPA friends do, and took this week off and spent time with your family. :-)

As previously discussed, I have recently set up my own asset management company (took quite a few weeks longer than was expected) which is under the AIG's Brokerage arm, FSC Securities Corporation.

They clear through Pershing (Bank Of NY) which is the largest in the US with over \$27 Trillion in assets under custody or administration. They also have excess SIPC coverage limits through Lloyd's of London. I can also use Fidelity (NFS Clearing) which has over \$3 Trillion in assets under custody.

Most of my accounts prefer Pershing (including numerous large banks like Barclay's Bank, etc.) because of their massive size, strength and they have unbelievable technology for everyone within the securities industry as well as both for investors and their CPA's online.

Attached is Pershing's ACAT (transfer) form as well as the Client Signature Page to complete and return to me. The ACAT requires just one signature and the Client Signature Page requires 2 signatures. The first page is for the W-9 and the second page is Pershing's customer agreement and money market sweep.

Please attach the most recent Oppenheimer statement as well.

Thank you and please don't hesitate to call me at 212-732-0987 or 917-969-7673 with any questions or concerns.

Billy, I will reach out to you next week to hopefully schedule a time to meet up in the city to clear the air.

Hope you both have a wonderful weekend.

~ Gregg

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