



Financial Advisor Compensation Schedule

New Employee Amended

Date 04-18-12 Branch / Branch Code G24
Financial Advisor Name GREGG TEMPLETON F.A.# A3E
Home Address
Proposed Start Date
Proposed Title
Previous Employer Assets
Trailing 12 Gross Revenue (if applicable, if not, explain)
Headhunter / Recruiter [] No [] Yes If yes, who:

Compensation Schedule

Minimum Monthly Salary for Exempt Employees \$ (Determined by State of employment)
Additional Monthly Salary \$ From Through
Upfront Forgivable Loan Amount: \$ years
Forgivable Draw vs. % grid rate: \$ From Through
Deficit Cap: \$
Recoverable Draw vs. % grid rate: \$ From Through
Deficit Cap: \$
Grid Rate: 60% from 04-01-12 - 10-31-12 and then 50% from 11-01-12 - 04-30-13
Effective Dates of Grid Rate From Through

Asset and/or Revenue Bonus / Loan Arrangement (please provide details):

Restrictive Agreements / Non-Solicitation Agreements: [] No [] Yes
If yes, describe restrictions:

Miscellaneous Provisions

Support Technology
Expenses

TO THE EXTENT A FINANCIAL ADVISOR HAS OR DOES EXECUTE A PROMISSORY NOTE AND/OR EMPLOYMENT AGREEMENT WITH OPPENHEIMER, THE TERMS OF SUCH PROMISSORY NOTE AND/OR EMPLOYMENT AGREEMENT WILL CONTROL IN THE CASE OF A DISCREPENCY.

Branch Manager [Signature] National Sales
4/19/12 REP # A3E



Financial Advisor Compensation Schedule

Input boxes for New Employee and Amended

Date 04-18-12 Branch / Branch Code G24
Financial Advisor Name Gregg Templeton F.A.#
Home Address
Proposed Start Date
Proposed Title
Previous Employer Assets
Trailing 12 Gross Revenue (if applicable, if not, explain)
Headhunter / Recruiter No Yes If yes, who:

Compensation Schedule

Minimum Monthly Salary for Exempt Employees \$ (Determined by State of employment)
Additional Monthly Salary \$ From Through
Upfront Forgivable Loan Amount: \$ years
Forgivable Draw vs. % grid rate: \$ From Through
Deficit Cap: \$
Recoverable Draw vs. % grid rate: \$ From Through
Deficit Cap: \$
Grid Rate: 60% from 04-01-12 - 09-31-12 and then 50% from 10-01-12 - 03-30-13
Effective Dates of Grid Rate From Through

Asset and/or Revenue Bonus / Loan Arrangement (please provide details):

Restrictive Agreements / Non-Solicitation Agreements: No Yes

If yes, describe restrictions:

Miscellaneous Provisions

Support Technology
Expenses

TO THE EXTENT A FINANCIAL ADVISOR HAS OR DOES EXECUTE A PROMISSORY NOTE AND/OR EMPLOYMENT AGREEMENT WITH OPPENHEIMER, THE TERMS OF SUCH PROMISSORY NOTE AND/OR EMPLOYMENT AGREEMENT WILL CONTROL IN THE CASE OF A DISCREPENCY.

Handwritten signature of Branch Manager

National Sales



Financial Advisor Compensation Schedule

New Employee Amended

Date 04-11-12 Branch / Branch Code G24
Financial Advisor Name Greg Templeton F.A.# _____
Home Address _____
Proposed Start Date _____
Proposed Title _____
Previous Employer _____ Assets _____
Trailing 12 Gross Revenue (if applicable, if not, explain) _____
Headhunter / Recruiter No Yes If yes, who: _____

Compensation Schedule

Minimum Monthly Salary for Exempt Employees \$ _____ (Determined by State of employment)
Additional Monthly Salary \$ _____ From _____ Through _____
Upfront Forgivable Loan Amount: \$ _____ years
Forgivable Draw vs. _____% grid rate: \$ _____ From _____ Through _____
Deficit Cap: \$ _____
Recoverable Draw vs. _____% grid rate: \$ _____ From _____ Through _____
Deficit Cap: \$ _____ 4-1-12 10/31/12 11/1/12 - 4/30/13
Grid Rate: 60% from 04-11-12 thru 07/10/12 and then 50% from 07/11/12 thru 04-10-13
Effective Dates of Grid Rate From _____ Through _____

Asset and/or Revenue Bonus / Loan Arrangement (please provide details):

Restrictive Agreements / Non-Solicitation Agreements: No Yes
If yes, describe restrictions:

Miscellaneous Provisions

Support _____ Technology _____
Expenses _____

TO THE EXTENT A FINANCIAL ADVISOR HAS OR DOES EXECUTE A PROMISSORY NOTE AND/OR EMPLOYMENT AGREEMENT WITH OPPENHEIMER, THE TERMS OF SUCH PROMISSORY NOTE AND/OR EMPLOYMENT AGREEMENT WILL CONTROL IN THE CASE OF A DISCREPENCY.

Branch Manager

National Sales