



BrokerCheck[®] Dispute Form

Complete this form if you wish to update or dispute information that is disclosed in your BrokerCheck report. Further information regarding the BrokerCheck dispute process, including the requirements that must be met for FINRA to investigate a dispute, is available on FINRA's website.

Once FINRA receives your submission, you will be notified in writing as to whether the dispute is eligible for investigation and, if eligible, the outcome of the investigation.

This form must be completed in its entirety and accompanied by all available supporting documentation. FINRA will not process any BrokerCheck Dispute Form that is incomplete, unsigned or submitted by a person or firm that is not the subject of the BrokerCheck report in question.

PART I – GENERAL INFORMATION

First Name: Gregg	Middle Initial: D.	Last Name: Templeton	
Title (if dispute is being brought on firm's behalf):			
Address: 300 East 59th Street Apt: 3605	City: New York	State: NY	Zip Code: 10022
Phone Number: 212-732-0987		Individual/Firm CRD Number: 2412775	

PART II – INFORMATION ABOUT THE DISPUTE

Provide a statement identifying the information that you allege is inaccurate, including the location that such information appears in a BrokerCheck report (section and page number), and the reason you believe the information to be inaccurate.

Dear FINRA,

I have three disclosures (one for \$4,525 in 1997, one for \$2,500 in 1999, and one for \$5,575 in 2003), and all three show up on my CRD. It should be noted that on all three, the "Individual Contribution Amount" was Zero from myself.

But, none of these should be reported on my CRD as per FINRA (FINRA release 2009.2 states: "An increase in the Forms U4 and U5 monetary threshold for reporting settlements of customer disputes from \$10,000 to \$15,000." on May 13th 2009).

Please write to inform me if these disclosures will be removed from my CRD. If they will not be removed, please provide and explanation. And, please inform me if there is anything else that is required from myself to complete this request.

As per FINRA's instructions, I have attached and highlighted the three matters in question.

I politely and respectfully request a removal from my CRD.

Thank you very much for your time and assistance in advance.

Sincerely,

Gregg Templeton

CRD #: 2412775

PART III - ACKNOWLEDGEMENTS

I understand that FINRA will consider any BrokerCheck Dispute Form submitted to be a communication to FINRA and, as such, to be conduct covered by FINRA Rule 2010, which requires members to observe just and equitable principles of trade and high standards of commercial honor. Accordingly, FINRA will consider disciplinary or other appropriate action against an individual or firm that, for example, willfully makes a false or misleading statement in a BrokerCheck Dispute Form.

I further understand that any information or documentation submitted in connection with this dispute may be provided to the entity that reported the information under dispute to the Central Registration Depository®.

If submitting this dispute on behalf of a firm, I acknowledge that I am authorized to do so.

I have read the above statements and all of the information I have provided is true and accurate to the best of my knowledge. I understand that I may be subject to administrative or civil penalties if I provide false or misleading information.

Signature: _____



Date: _____

10-20-16

BrokerCheck Dispute Checklist:

To ensure timely processing of your dispute, please check the following:

- All parts of this form are complete.
- The applicable section(s) and page(s) of the BrokerCheck report where the disputed information is located have been identified. If you wish, you may provide a copy of the BrokerCheck report with the disputed information circled or highlighted.
- All available supporting documentation has been attached to this form.

Please mail this signed form along with all supporting documentation to:

**Registration and Disclosure—Regulatory Review and Disclosure (RR&D)
FINRA
9509 Key West Avenue
Rockville, Maryland 20850-3329**

FINRA will not accept requests sent via facsimile.

Questions: Call FINRA's Gateway Call Center at (301) 590-6500.