



Financial Industry Regulatory Authority

December 6, 2016

Gregg Templeton
300 E. 59th St., Apt# 3605
New York, NY 10022

Re: CRD #2412775
BrokerCheck[®] Dispute Form

Dear Mr. Templeton:

The review of your BrokerCheck[®] Dispute Form, dated October 20, 2016, has been completed pursuant to the provisions of FINRA Rule 8312. Your dispute is not subject to further investigation. The Customer Disputes appear to be properly disclosed on your record, and remain on your BrokerCheck[®] Report as historic complaints. Therefore, no modifications have been made. Please note you must obtain an expungement if you wish to have this information removed from your records.

If you have any questions, feel free to contact 301-590-6500.

Sincerely,

A handwritten signature in cursive script that reads "Rita Lee".

Rita Lee
Analyst
Registration and Disclosure